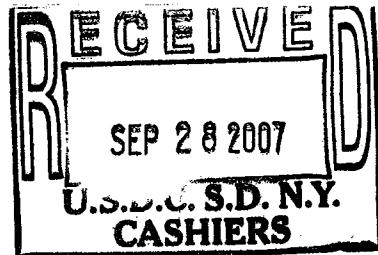


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UNITED STATES DISTRICT COURT
 SOUTHERN DISTRICT OF NEW YORK

JUDGE STEIN

-----X
 A.W. FINANCIAL SERVICES, S.A., as successor
 in interest to TERTIAIRE INVESTISSEMENT, S.A.

ECF CASE

Plaintiff,

RULE 7.1 STATEMENT

v.

Ind. No. 07-CV-
 '07 CIV 8491

EMPIRE RESOURCES, INC.,
 AMERICAN STOCK TRANSFER & TRUST COMPANY,
 and AFFILIATED COMPUTER SERVICES, INC.,

Defendants.

-----X

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for A.W. Financial Services, S.A. (a non-governmental party), certifies that it has **no** corporate parents, affiliates and/or subsidiaries which are publicly held.

Dated: New York, NY
 September 28, 2007

PHILLIPS NIZER LLP

By:

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